

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/757,664

Confirmation No. 4456

Applicants:

M. David Weingarten, et al.

Filed

January 13, 2004

TC/A.U.

1621

Examiner

Paul A. Zucker

Title

Process of Preparing Esters and Ethers of Probucol and Derivatives

Thereof

Docket No.

04676.105102 (ATH 225 US)

Customer No.:

20786

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

February 28, 2007

NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS PURSUANT TO 37 C.F.R. § 1.27(g)(2) and 1.366(f)

Sir:

This letter serves as notice under 37 C.F.R. § 1.27(g)(2) and 1.366(f) that the owner of the above-identified patent is no longer entitled to claim small entity status for the above referenced patent as defined under 37 C.F.R. § 1.27(a).

Respectfully submitted,

Susanne Hollinger, Ph.D.

Reg. No. 51,811

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Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this Amendment and Response After Final Office Action, along with all other items identified below, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on the below-identified date.

Deposit Date: February 28, 2007

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Enclosed: Amendment and Response to Non-Final Office Action (47 pp.), Petition for Extension of Time (1 p.), Notification of Loss of Entitlement to Small Entity Status (1 p.); Credit

Card Payment Form for \$1020, and Postcard.

Nicole Smythe



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AMENDMENT AND RESPONSE TO OFFICE ACTION

Sir:

In response to the Office Action mailed August 31, 2006, please enter the following amendments and consider the following remarks. A Petition for Extension of Time for three months, thus extending the due date to and including February 28, 2007, a Notification of Loss of Entitlement to Small Entity Status, and a Credit Card Payment Form for payment of the required fees are also enclosed.

Amendments to the Claims are reflected in the listing of claims that begins on page 2 of this paper.

Remarks/Arguments begin on page 42 of this paper.